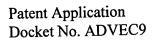
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Unknown

Art Unit

1651

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Applicant(s)

Graham, et al.

Serial No.

09/286,874

File Date

April 6, 1999

For

Use of Helper-Dependent Adenoviral Vectors of

Alternative Serotypes Permits Repeat Vector

Administration.

Assistant Commissioner of Patents and Trademarks Washington, D.C. 20231

MISSING PARTS; CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Sir:

Enclosed herewith are the following documents in reference to the captioned patent application, Docket No. ADVEC9:

- 1. Fee transmittal form, filing fee and late surcharge (\$760.00).
- 2. Additional Claims Fees for 2 Independent Claim over 3 claims included in the basic filing fee. (\$156.00).
- 3. Executed Declaration by Frank L. Graham. (first inventor).
- Executed Declaration by Robin Parks (second inventor).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents and Trademarks, Washington, D.C. 20231 on May 21, 1999.

Respectfully submitted,

Gerard H. Bencen

Patent Attorney

Registration No. 35,746 Phone No.: 407-246-0444

Address: 426 Anderson Court Orlando, Florida 32801

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## S DEPARTMENT OF COMMERCE

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| APPLICATION NUMBER           | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNE | ATTORNEY DOCKET NO./TITLE |  |
|------------------------------|---------------------|-----------------------|---------|---------------------------|--|
| 09/286,874                   | 04/06/99 GRAHAI     |                       | F       | ADVEC9                    |  |
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| * 9                          | 01A 02              | 32/0504               | *       |                           |  |
| GERARD H BEN<br>426 ANDERSON | ICEN /              |                       | NOT A   | SSIGNED                   |  |
| ORLANDO FL 3                 |                     |                       | 1651    |                           |  |
|                              | TAROLOGY THICK      | DATE MAILE            | ED:     | 05/04/99                  |  |

## NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to

| avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extensions of time may be obtained by filing a petition accompanied by the extensions of time may be obtained by filing a petition accompanied by the extensions of time may be obtained by filing a petition accompanied by the extensions of time may be obtained by filing a petition accompanied by the extensions of time may be obtained by filing a petition accompanied by the extensions of time may be obtained by filing a petition accompanied by the extensions of time may be obtained by filing a petition accompanied by the extensions of time may be obtained by filing a petition accompanied by the extensions of time may be obtained by filing a petition accompanied by the extensions of time may be obtained by filing a petition accompanied by the extensions of time may be obtained by filing a petition accompanied by the extensions of time accompanied by the extensions of time accompanied by the extensions of time accompanied by filing a petition accompanied by the extensions of time accompanied by filing a petition accompanied by the extensions of time accompanied by the extension of t | h in 37 CFR 1.16(e) of 🗌              | \$65.00  |
|--|---------------------------------------|--|
| If all required items on this form are filed within the period set above, the total amount owe ☐ small entity (statement filed) ☐ non-small entity is \$   | d by applicant as a                   |  |
| ☐ 1. The statutory basic filing fee is: ☐ missing. ☐ insufficient. ☐ / •   | •                                     | ,  |
| Applicant must submit \$   | small entity statement                |  |
| \$ for total claims over 20.  \$ 156 for 2 independent claims over 3.  | y "                                   |  |
| \$for multiple dependent claim surcharge.  Applicant must either submit the additional claim fees or cancel additional claims for which  | n fees are due.                       |  |
| <ul> <li>☑ 3. The oath or declaration:</li> <li>☑ is missing or unsigned.</li> <li>☐ does not cover the newly submitted items.</li> <li>An oath or declaration in compliance with 37 CFR 1. 63, including residence information and the above Application Number and Filing Date is required.</li> </ul>   | d identifying the applica             | tion by  |
| <ul> <li>4. The signature(s) to the oath or declaration is/are by a person other than inventor or person 1.43 or 1.47.</li> <li>A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application Number and Filing Date, is required.</li> </ul>  |                                       | 1.42,  |
| 5. The signature of the following joint inventor(s) is missing from the oath or declaration:   | ييماني د د ويدر رووهاده د ويدر        | <u></u>  |
| An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors an inventor(s), identifying this application by the above Application Number and Filing Date, is  |                                       |  |
| <ul> <li>6. A \$50.00 processing fee is required since your check was returned without payment (</li> <li>7. Your filing receipt was mailed in error because your check was returned without payment.</li> <li>8. The application was filed in a language other than English.         Applicant must file a verified English translation of the application, the \$130.00 set forth in 3 previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).     </li> </ul>  | · · · · · · · · · · · · · · · · · · · | 766.00 0P<br>766.00 0P<br>156.00 0P<br>130.00 0P |
| 9. OTHER:  |                                       | •  |
| Direct the reply and any questions about this notice to "Attention: Box Missing Parts."  A copy of this notice MUST be returned with the re  Customer Service Center Initial Patent Examination Division (703) 308-1202  |                                       | 9 11,0011 00000037                               |
| Times I work examination project (100) 000 TECE  | č                                     | 1939   |